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### FACSIMILE TRANSMITTAL

#### TO

**FILING RECEIPT CORRECTIONS  
OFFICE OF INITIAL PATENT EXAMINATION**

**Fax No.:** 703.746.9195

**Phone No.:**

**Subject:** Request for Corrected Filing Receipt

**Application Serial No.:** 09/399,003

#### FROM

**Name:** Robert W. Mann

**Phone No.:** 650.849.6676

**Fax # Verified by:** smb

**# Pages (incl. this):** 11

**Date:** August 29, 2003

**Attorney Docket No.:** 04121.0116-02

#### Confirmation Copy to Follow: No

In re Application of: )  
Holly H. HOGREFE, et al. ) Group Art Unit: 1634  
Serial No.: 09/399,003 ) Examiner: A. K. Chakrabarti  
Filed: September 20, 1999 )  
For: POLYMERASE ENHANCING FACTOR (PEF) )  
EXTRACTS, PEF PROTEIN COMPLEXES, )  
ISOLATED PEF PROTEINS, AND METHODS )  
FOR PURIFYING AND IDENTIFYING )

Enclosed for filing:

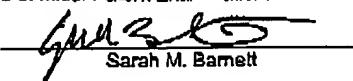
1. Supplemental Request for Corrected Filing Receipt (2 pages);
2. A marked-up copy of the Corrected Filing Receipt mailed July 12, 2001 (4 pages);
3. A copy of a Request for Corrected Filing Receipt filed November 4, 1999 (2 pages);
4. A marked-up copy of the Filing Receipt mailed October 7, 1999 (1 page); and
5. The date-stamped postcard for the November 4, 1999 filing (1 page).

If there is a problem with this transmission, notify fax room at (650) 849-6600 or the sender at the number above.

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## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile on August 29, 2003, to Filing Receipt Corrections, at facsimile number (703) 746-9195, with the Office of Initial Patent Examination.

  
Sarah M. Barnett

## PATENT

Customer Number 22,852  
Attorney Docket No. 4121.0116-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Attn: Office of Initial Patent  
Holly H. HOGREFE et al. ) Examination's Customer Service Center  
Serial No.: 09/399,003 )  
Filed: September 20, 1999 ) Group Art Unit: 1634  
For: POLYMERASE ENHANCING )  
FACTOR (PEF) EXTRACTS, PEF )  
PROTEIN COMPLEXES, )  
ISOLATED PEF PROTEINS, AND )  
METHODS FOR PURIFYING AND )  
IDENTIFYING )

## OIPE FILING RECEIPT CORRECTIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

SUPPLEMENTAL REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request correction of the Title and Domestic Priority data listed in the Corrected Filing Receipt mailed July 12, 2001.

The correct continuing application information is:

THIS APPLICATION IS A CONTINUATION OF PCT/US98/05497 03/20/1998  
WHICH IS A CIP OF 08/957,709 10/24/1997  
WHICH IS A CIP OF 08/822,744 03/21/1997

The correct title is:

"POLYMERASE ENHANCING FACTOR (PEF) EXTRACTS, PEF PROTEIN COMPLEXES, ISOLATED PEF PROTEINS, AND METHODS FOR PURIFYING AND IDENTIFYING"

Applicants enclose a marked-up copy of the filing receipt mailed July 12, 2001.

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP  
  
1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)

In addition, Applicants enclose a copy of a Request for Corrected Filing Receipt filed November 4, 1999, a marked-up copy of the Filing Receipt mailed October 7, 1999, and the corresponding date-stamped postcard.

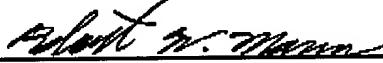
Applicants request that a corrected Filing Receipt be issued as soon as possible.

Please grant any extensions of time required to enter this correction and charge any additional required fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: August 29, 2003

By: 

Robert W. Mann  
Reg. No. 48,555

FINNEGAN  
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MPB/RWM



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/399,003	09/20/1999	1656	3868	04121.0116- 02	45	106	18

CONFIRMATION NO. 1754

CORRECTED FILING RECEIPT



"OC00000006290712"

FINNEGAN HENDERSON FARABOW  
 GARRETT & DUNNER LLP  
 1300 I STREET NW  
 WASHINGTON, DC 200053315

Date Mailed: 07/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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## Applicant(s)

HOLLY HOGREFE, SAN DIEGO, CA;  
 CONNIE J. HANSEN, SAN DIEGO, CA;

JUL 2 2001

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/US98/05497 03/20/1998 WHICH IS A CIP OF 08/957,709 10/24/1997  
 WHICH IS A CIP OF 08/322,774 03/21/1997

Foreign Applications WHICH IS A CIP OF 08/322,774 03/21/1997

If Required, Foreign Filing License Granted 10/07/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

## Title

POLYMERASE ENHANCING FACTOR (PEF) EXTRACTS, PEF PROTEIN AND METHODS  
 FOR PURIFYING AND IDENTIFYING

PURIFYING

PROTEIN COMPLEXES,

ISOLATED PEF PROTEINS

AUG. 29. 2003 3:35PM DC OFFICE2024084400

NO. 466 P. 5  
Page 2 of 4

**Preliminary Class**

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**Data entry by : THOMAS, DOROTHY**

**Team : 1600**

**Date: 07/12/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 26 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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NO. 466 P. 7 of 4  
Page 7 of 4

## FINNEGAN, HENDERSON, FARABOW, GARRETT &amp; DUNNER, L.L.P.

1300 I STREET, N. W.  
WASHINGTON, DC 20005-3315202 • 408 • 4000  
FACSIMILE 202 • 408 • 4400ATLANTA  
404-653-6400  
PALO ALTO  
650-849-6600

WRITER'S DIRECT DIAL NUMBER

TOKYO  
011-513-3431-6943  
BRUSSELS  
011-322-646-0353

ATTORNEY DOCKET NO.: 04121.0116-02

Office of Initial Patent Examination's  
Customer Service Center  
Assistant Commissioner for Patents  
Washington, D.C. 20231

## U.S. Patent Application

Title: POLYMERASE ENHANCING FACTOR (PEF) EXTRACTS, PEF PROTEIN COMPLEXES, ISOLATED PEF PROTEIN AND METHODS FOR PURIFYING AND IDENTIFYING

Inventor(s): Holly HOGREFE and Connie J. HANSEN  
Serial No.: 09/399,003 Group Art Unit: 1643  
Filed: September 20, 1999 Examiner:

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

Enclosed is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office. The following errors were found in the Filing Receipt:

The continuing application information is incorrect:

THIS APPLN IS A CON OF PCT/US98/05497 03/20/98  
WHICH IS A CIP OF 08/957,709 10/24/97  
WHICH IS A CIP OF 08/822,774 03/21/97

There are errors in the title. The correct title is "POLYMERASE ENHANCING FACTOR (PEF) EXTRACTS, PEF PROTEIN COMPLEXES, ISOLATED PEF PROTEIN AND METHODS FOR PURIFYING AND IDENTIFYING"

The errors have been highlighted on the enclosed copy and the correct information is shown in ink adjacent the erroneous information.

We request a corrected Filing Receipt as soon as possible.

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

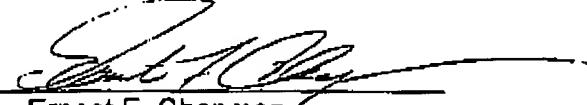
ATTORNEY DOCKET NO.: 04121.0116-02

Office of Initial Patent Examination's  
Customer Service Center

If there are any fees due in connection with the filing of this request, please  
charge such fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: 

Ernest F. Chapman  
Reg. No. 25,961

Dated: November 4, 1999

AUG. 29. 2003 3:37PM

DC OFFICE2024084400

PTO-1440  
(Rev. 6-99)

FILING RECEIPT



UNITED STATES PATENT AND TRADEMARK OFFICE  
Assistant Secretary and Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

NO. 466

P. 10  
Filing

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DR VGS	TOT CL	IND CL
09/399,003	09/20/99	1643	\$3,738.00	64121.0116-02	5	106	18

FINNEGAN HENDERSON FARABOW  
GARRETT & DUNNER LLP  
1300 I STREET NW  
WASHINGTON DC 20005-3315

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) HOLLY HOGREFE, SAN DIEGO, CA; CONNIE J. HANSEN, SAN DIEGO, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

~~THIS APPN IS A CIP OF 08/957,709 10/24/97  
WHICH IS A CIP OF 08/822,774 03/21/97~~

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 10/07/99  
TITLE ~~ENHANCING FACT PEF~~  
POLYMERASE ENHANCING FACT PEF EXTRACTS, PEF PROTEIN COMPLEXES,  
ISOLATED PEF PROTEIN, AND METHODS FOR PURIFYING AND IDENTIFYING

PRELIMINARY CLASS: 435

~~THIS APPN IS A CON OF PCT/US98/05447 - 03/20/99  
WHICH IS A CIP OF 08/957,709 - 10/24/97  
WHICH IS A CIP OF 08/822,774 - 03/21/97~~

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OCT 20 1999

FINNEGAN, HENDERSON, FARABOW,  
GARRETT AND DUNNER, LLP

DATA ENTRY BY: GENTRY, CHRISTINE TEAM: 01 DATE: 10/07/99



(See reverse for Important information)

Received from <2024084400> at 8/29/03 6:39:17 PM (Eastern Daylight Time)

08/10/2000 10:21:00 AM

FPD/USD

## PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Holly HOGREFE and Connie J. HANSEN

Serial No.: 09/399,003 GROUP ART UNIT: 1643

Filed: September 20, 1999

For: POLYMERASE ENHANCING FACTOR (PEF) EXTRACTS, PEF  
PROTEIN COMPLEXES, ISOLATED PEF PROTEIN AND METHODS  
FOR PURIFYING AND IDENTIFYING

1. Request for Corrected Filing Receipt
2. Copy of Filing Receipt with marked errors

NOV 5 1999

Dated: November 4, 1999

CASE REF: 04121.0116-032

MPB/FPD/rgm



Rkd 11-05-99 Rma

25